

PATENT Dkt. STL10005

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Christopher Hill and Garry E. Korbel

Assignee:

SEAGATE TECHNOLOGY LLC

Application No.:

09/995,206

Group No.: 2837

Filed:

November 27, 2001

Examiner: P. Miller

For: POWER SUPPLY OUTPUT CONTROL APPARATUS AND METHOD

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c))

## TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

- 1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either
  - (1) a final action under § 1.113 or
  - (2) a notice of allowance under § 1.311

whichever occurs first.

#### FEE

2. Accompanying this transmittal is the fee for submission of an information disclosure statement under section 1.97(c). \$180.00

#### FEE PAYMENT

3. Applicant elects the option to pay the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c) (\$180.00).

Fee due \$180.00

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Page 1 of 2

### METHOD OF PAYMENT OF FEE

4. Authorization is hereby made to charge the amount of \$180.00 to Credit card as shown on the attached credit card information authorization form PTO-2038.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. A duplicate of this paper is attached.

Date: 4 26 05

Respectfully submitted

Randall K. McCarthy, Registration No. 39,297 Mitchell K. McCarthy, Registration No. 38,794 Fellers, Snider, Blankenship, Bailey & Tippens, P.C.

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### Express Mail Receipt No. ED639816604US Deposited on April 26, 2005

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#### INFORMATION DISCLOSURE STATEMENT

#### List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. Preliminary Statements
- 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
- 3. Copies of Listed Information Items Accompanying This Statement
- 4. Identification of Person(s) Making This Information Disclosure Statement

### Section 1. Preliminary statements

Applicant submits herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Application Number
 09/995,206

 Filing Date
 11/27/2001

 First Named Inventor
 Christopher L. Hill

 Art Unit
 2837

 Examiner Name
 P. Miller

STL10005

Complete if Known

(Use as many sheets as necessary)
Sheet 1 of 1 Attorney Docket Number

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	<sup>US-</sup> 5,117,165	05/26/1992	Cassat, et al.	
	AB	US- 5,844,388	12/01/1998	Maiocchi	
	AC	<sup>US-</sup> 5,912,543	06/15/1999	Mahr, et al.	
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FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3–</sup> Number <sup>4–</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
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Examiner		Date	
Signature		Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



#### Copies of Lasted Information Items Accompanying This Statement Section 3.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement. They are as follows:

U.S. Patent No. 5,117,165 5,844,388 5,912,543

#### **Identification of Person Making This Information Disclosure Statement** Section 4.

The person making this certification is:

the practitioner who signs below on the basis of the information supplied by the inventor(s) and in the practitioner's file.

Respectfully submitted,

Randall K. McCarthy, Registration No. 39,297

Mitchell K. McCarthy, Registration No. 38,794

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